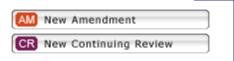


Submitting Amendments and Continuing Reviews in webIRB



Creating Amendments & Continuing Reviews in webIRB

- After approval of a study in webIRB Amendments (AMs) and Continuing Reviews (CRs) are submitted through webIRB.
- AMs and CRs are created in the approved study workspace using:



Current State	Study: Sampl	e Approved St	ıdy for webIRB Trai	ning (1)					
Approved	Full Tale of Guider	Secola Accound S	and frameburg Tasisian (4	\ \					
	Full Title of Study:		tudy for webIRB Training (1)					
View Study	Protocol ID:	IRB#09-000016							
E Printer Version									
View Differences	Principal Investigator:	A PI1					Study Contact Person:	Study	Staff7 5
SS-Print All Request Notes	Faculty Sponsor:						Initial Submission Date:	12/26/	2009
	Review Type:	Full IRB Review					Committee:	Medica	I IRB 2
Owner (IRB Staff): IRB Staff1	Approval Date:	1/4/2011					Letter of Approva	: [View]	
	Expiration Date:	1/4/2012					cetter of rippion	. [vicij	
	expiration bates	1/4/2012							
My Activities									
Send Notification to FS for FS Assurances	PI Proxy:								
PI Assurances									
Send Training	PI Assurances:	Completed							
Reminder	FS Assurances:	Not Required							
👔 Edit PI Proxy									
Copy Study	Request to Continue	Participants during A	Approval Lapse:						
Send Inquiry or Reply to IRB									
PI Suspend		Continuing	Post-Approval Reports &	Approved	Completed	Conditions and		Training	Change
📝 Study Team - Log	History Amendr	nents Reviews	Single Subject Exception	Documents	IRB Requests	Determinations	Correspondence	Log	Log
Private Comment	Activity						Author		
	Project Snapsh	iot Generated					Michelle Leo	nard	
New Post-Approval Papart	View Pro	ject Snapshot							
New Post-Approval Report or Single Subject Exception	Amendment Co	ompleted - Approved					Michelle Leo	nard	
AM New Amendment		view Deadline Remind	er				System Adm	inistrator	
CR New Continuing Review	Amendment Op						A PI1		



Amendments in webIRB



- Only one amendment can be created at a time.
- When an amendment is submitted, the sections of the application that are being modified are <u>locked</u> to further changes until the amendment is reviewed and approved.



Steps for preparing an AM application

- Step 1: Start the AM application by clicking New Amendment
 in the approved study workspace of the study you are modifying.
- **Step 2:** Describe the amendment (i.e., proposed changes to the study application).
- **Step 3:** Revise the study application and study documents, if applicable.
- **Step 4:** Submit the Amendment.



section will appear.

Step 1: Create an AM

In the approved study workspace click on:
 New Amendment
 The Description of Amendment

urrent State	Study: Samp	le Approved St	udy for webIRB Trai	ning (1)					
Approved	Full Title of Study:	Comple Assessed C	itudy for webIRB Training (1)						
	Protocol ID:	IRB#09-000016	reey or weeting (1)						
View Study	, interest and int								
Printer Version									
2 view Differences	Principal Investigator:	A PI1					Study Contact Person:	Study	Stall75
	Faculty Sponsor:						Initial Submission	12/26/	2009
SS-Print All Request Notes							Date:		
	Review Type:	Full IRB Review					Committee:	Medica	IRB 2
wner (IRB Staff):									
RB Staff1	Approval Date:	1/4/2011					Letter of Approval:	[View]	
	Expiration Date:	1/4/2012							
Activities									
Send Notification to FS for FS Assurances	PI Proxys								
PI Assurances									
Send Training	PI Assurancesi	Completed							
Reminder	F5 Assurances:	Not Required							
S Edit PI Proxy	Request to Continue	e Participants during							
Copy Study	nequest to contain	e Failocipairts burning							
Send Inquiry or Reply to IRB									
PI Suspend		Continuing	Post-Approval Reports &	Approved	Completed	Conditions and	1	Training	Change
Ctudy Team - Log	History Amendr	ments Reviews	Single Subject Exception		IRB Requests	Determinations	Correspondence	Log	Log
Physie Comment	Activity Project Sneps	hot Generated					Author Michelle Leon	ard	
New Post-Approval Report		oject Snapshot							
New Post-Approval Report or Single Subject Exception		ompleted - Approved					Michelle Leon	ard	
New Amendment	Continuing Key	view Deadline Kemind	er				System Admin	nistrator	
R New Costinuing Review	Amendment O	pened					A PI1		

Note: there is 1 open Amendment for this study.

Warning: Save your work at least every 15 minutes by clicking "Save" or "Continue."

Γ	Description	n of Amendment	—
	1.0	* Short Title: Amendment #8 for webIRB Study IRB#11-000045	
	2.0	* Change in study staff and/or other personnel.	
		Principal Investigator Contact Person	
		Key Personnel (Study Staff and/or Other Personnel) Not applicable	



Step 2: Describe the Amendment

Complete the **Description of Amendment** section:

		Note: there is 1
		Warning: Save your work at least e
Γ	Description	n of Amendment
	1.0	* Short Title: Amendment #8 for webIRB Study IRB#11-000045
	2.0	 * Change in study staff and/or other personnel. Check all that apply: Principal Investigator Contact Person Key Personnel (Study Staff and/or Other Personnel) Not applicable
	3.0	If this amendment includes a change to the Principal investigator and the current person filling this rol
	4.0	* Minor Amendment - Types of change(s) proposed. Check all that apply:

- **1.0- Provide a short title** The title will appear on the AN.
- 2.0- Indicate whether or not there is change in study staff and/or key personnel
 New study staff/personnel will have access to the study when the AM is approved.



Step 2: Describe the amendment (cont'd)

Check all that apply:	Se ch bo be
Clarification or technical change	_
Minor increase/decrease in number of Study participants	ch
Narrowing of the inclusion criteria	
Broadening of the exclusion criteria	
Changes in the dosage or form (e.g., tablet to liquid) but not the route of administration of an approved drug	l bo
Increase or decrease in the number of safety monitoring visits provided that there is no impact on subject safety.	
Addition or deletion of study sites	ha
Change in payments to study participants	
Minor changes to recruitment materials	
Minor changes to screening procedures	de
Change in funding source(s)	
Other	
None of the above	
 * Major Amendment - Types of change(s) proposed. Check all that apply:	pro ch
 * Major Amendment - Types of change(s) proposed. Check all that apply:	pro ch
 * Major Amendment - Types of change(s) proposed. Check all that apply: Change in study design of a protocol approved by the full board of the IRB Change in status of study participants (e.g., study participant becomes prisoner, ward, or pregnant in a protocol not approved for these populations 	pro ch
	pro ch
 * Major Amendment - Types of change(s) proposed. Check all that apply: Change in study design of a protocol approved by the full board of the IRB Change in status of study participants (e.g., study participant becomes prisoner, ward, or pregnant in a protocol not approved for these populations (Note: This primarily applies to medical or treatment studies.) Addition of a procedure not approvable using expedited review procedures (e.g., ionizing radiation) 	pro ch
 * Major Amendment - Types of change(s) proposed. Check all that apply: Change in study design of a protocol approved by the full board of the IRB Change in status of study participants (e.g., study participant becomes prisoner, ward, or pregnant in a protocol not approved for these populations (Note: This primarily applies to medical or treatment studies.) Addition of a procedure not approvable using expedited review procedures (e.g., ionizing radiation) Changes that increase risk or discomfort to study participants 	pro ch
 * Major Amendment - Types of change(s) proposed. Check all that apply: Change in study design of a protocol approved by the full board of the IRB Change in status of study participants (e.g., study participant becomes prisoner, ward, or pregnant in a protocol not approved for these populations (Note: This primarily applies to medical or treatment studies.) Addition of a procedure not approvable using expedited review procedures (e.g., ionizing radiation) Changes that increase risk or discomfort to study participants Substantive changes to a consent form or other study documents distributed to subjects. 	pro ch
 * Major Amendment - Types of change(s) proposed. Check all that apply: Change in study design of a protocol approved by the full board of the IRB Change in status of study participants (e.g., study participant becomes prisoner, ward, or pregnant in a protocol not approved for these populations (Note: This primarily applies to medical or treatment studies.) Addition of a procedure not approvable using expedited review procedures (e.g., ionizing radiation) Changes that increase risk or discomfort to study participants 	pro ch

Select the check box(es) that best describe the proposed change(s).



Step 2: Describe the amendment (cont'd)

7.0	* Provide a s	ummary of the proposed modifications and describe the reason(s) for the modifications.	justification you selecte and 5.0. • If applical	a description and n for the changes ed in Items 2.0, 4.0, ble, describe es for re-consenting
	7.1	Attach a summary of changes here (if applicable).	subjects.	ee for to concorning
		Add		
		name version There are no items to display		
	<i>Note:</i> All othe	r materials - such as consent forms, recruitment flyers, etc - must be attached to the appropr	iate section of the application - not here.	

7.1- If applicable, attach the summary of changes provided by the sponsor.

- •Use Item 7.0 to describe the changes that apply to the study.
- Do not attach new or modified study documents.



Step 2: Describe the amendment (cont'd)

8.0	8.0	* Are any pa	rticipants currently enrolled in the study?		
		 Yes No Not Appli Clear If yes, answer 8.1 	cable r the following items: Should current study participants be notified or re-consented? Yes No No No No No Clear Should participants who have completed the study be notified?	 8.0 Indicate whet any subjects current in the study. If applicable des procedures for resubjects in Item 	r ently enrolled cribe e-consenting
	8.3	 Yes No Not Applicable - No study participants have been enrolled Clear If you indicated "No" for items 8.1 and/or 8.2, indicate the rationale. 			

Addendum Consent Templates are available at

http://ora.research.ucla.edu/OHRPP/Pages/ConsentTemplates.aspx#addendum



SmartForm

amendment workspace. Then click "Submit Amendment" under "My Activities" to submit the amendment to the IRB.

Step 2: Describe the amendment (cont'd)

9.0	0 * Is a Post-Approval <u>Rep</u> o	ort included in this amendment?	
	A • •	pproval Report (P The application will If the PAR has been application, select "	AR) with the Amendment. branch with the PAR questions. n submitted as a separate 'No". go to the next section.
inish —			Finish
	Please ensure year have updated the appropriate items on the	original study application form	When you reach Finish

- when you reach **Finish** click "SmartForm" to go If you have completed the amendment smartform and updated the appropriate items on the study application smartform, click "Finish" to return to the to the study application.
 - Section 1.1 of the study application will appear.



Step 3: Modify the study application

Continue >>

	<< Back		Save Exit Hide/Show Errors Print Jump To:	1.1 - Study Title and Key Personnel 🗷	
0	Reviewer N	otes (0 Notes To	otal)	1.1 - Study Title and Key Personnel	
				1.1a - Other Personnel	
				1.2 - Conflict of Interest Information	
			Warning: Save your work at least every 15 minutes	2.1 - Project Identification Information	
				2.2 - Lay Summary and Keywords	-
١	General In	formation —		5.1 - Type of Study Review	
	All items mari	ked with a red a:	sterisk (*) are required. Items without an asterisk may or may not be required dependin	6.1 - Funding and Other Study Characteristics	
	1.0	-		7.1 - Study Locations	
	1.0	Tun muc vi c	the Submission:	7.2 - UCLA or UCLA Network Sites	ĺ
		Sample Approv		8.1 - Methods/Procedures - Descriptors	
				8.3 - Clinical Trial of a Behavioral Intervention, Drug, Biologic	
			*	8.6 - Drugs/Biologics/Dietary Supplements	
				8.10 - Regulatory and Committee Approvals	
		1.1	Protocol Version Date and/or Number: 🗐	9.2 - Information about Study Data	
				9.2a - Privacy	
				9.3 - Data Security	
				9.4 - Data Security Plan	,
	2.0	*Working or L	Lay Title: 😌	< III >>	
		Sample Approv	ved Study for webIRB Training (1)		

3.0 Drincinal Investigator

1.1 - Study Title and Key Personnel 1.1a - Other Personnel 1.2 - Conflict of Interest Information 2.1 - Project Identification Information 2.2 - Lav Summary and Keywords CRC1.1 - Continuing Review - Type of Study CRC1.6 - Continuing Review - Research Involving Direct Cor CRC1.7 - Continuing Review - Research Involving Direct Cor CRC1.8 - Summary of Research Results CRC1.9 - Information for Studies that have Expired or that v CRC2.1 - Post-Approval Reports (including Unanticipated Prc CRC2.5 - Summary of Recent Literature 5.1 - Type of Study Review 5.2 - Expedited Review 6.1 - Funding and Other Study Characteristics 6.2 - Funding - Description 7.1 - Study Locations

- Review all sections of the study application. Use Jump To or Continue to navigate through the sections.
- Modify <u>all relevant</u> sections of the study application.
- Click **Save** after revising each section.
- DO NOT modify the response in the CRC sections. Studies converted to webIRB at the time of continuing review contain the CRC sections.



Step 3: Modify the study application (cont'd)

Upload copies of the advertisements/flyers/information sheets/internet postings below. If you will be using announcements on the radio, TV, etc. provide a copy of the script, or a video or audio clip.

Add Delete Document Name

2.0

Edit] print ad.Prob.6.30.09.doc

[Edit] OCRC Radio script.6.30.09.doc
[Edit] Opioid Radio script.7.29.09.doc

Revised Documents:

- Use **Edit** to replace previous versions of documents with the updated versions.
- Use Add to upload new documents in the application.
- Update the document title to distinguish between the marked and clean copy. Include the version date. (e.g., "child assent_marked_010111", "child asssent_clean_010111").
- To remove documents, click on the checkbox of the document you want to remove. Then click on **Delete**.

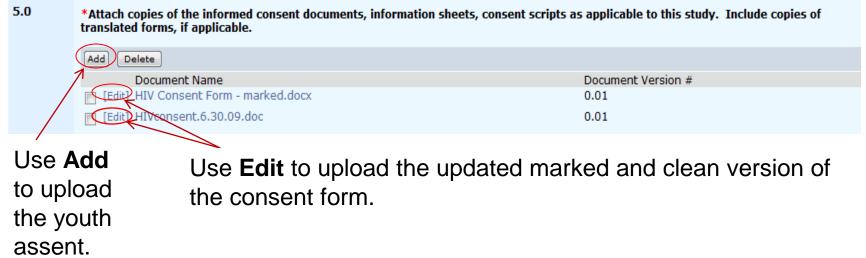


Step 3: Modify the study application (cont'd)

Example: The PI is adding a youth assent and revising the HIV consent form in section 20.3.

Modify the consent form and save the marked and clean copy on your desktop. Name them "HIV Consent Form-marked 081211" and "HIV Consent Form- clean 081211".

Section 20.3 before uploading the youth assent and revised consent forms.

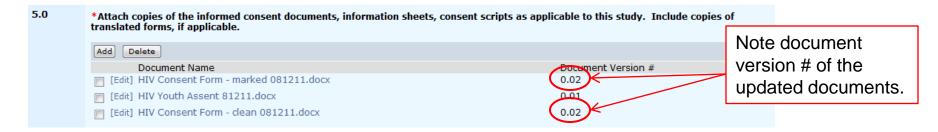




Section 20.3 before uploading the youth assent and revised consent form.

5.0	*Attach copies of the informed consent documents, information sheets, consent scripts as applicable to this study. Include copies of translated forms, if applicable.					
	Add Delete					
	Document Name	Document Version #				
	[Edit] HIV Consent Form - marked.docx	0.01				
	[Edit] HIVconsent.6.30.09.doc	0.01				

Section 20.3 after uploading the new youth assent and revised consent form.





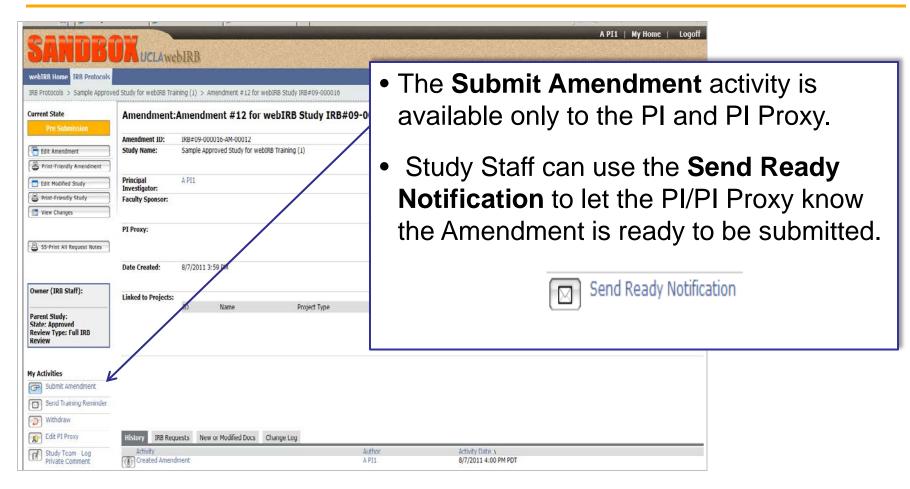
Step 3: Modify the study application (cont'd)

Save Exit H	ide/Show Errors Print Jump To: 1.1 - Study Title and	 Click Exit when you are
• Reviewer Notes (0 Notes Total)	1.1 - Study Title ar	
	1.1a - Other Perso	done updating the study
	1.2 - Conflict of Int	
Warning: Save	your work at least every 15 minutes 2.1 - Project Ident	application.
	2.2 - Lay Sommary	
General Information	5.1 - Type of Study	· Vou will roturn to the
All items marked with a red asterisk (*) are required. Items without an aster	isk may or may not be required dependin 6.1 - Funding and	 You will return to the
10	7.1 - Study Locatio	Finish section of the
		Amendment Smartform.

<< Back	Save Exit Hide/Show Errors	Print Jump To: Finish +	Finish
• Reviewer	Note (O Notes Total)		
Finish—			
	Please ensure you have updated the appropriate items on the original study application form SmartForm		
	If you have completed the amendment smartform and updated the appropriate items on the study application smartf under "My Activities" to submit the amendment to the IRB.	form, click "Finish" to return to the amendment workspace. Then click "Submit Amend	ment"
		Click Finish to go to	
<< Back	Save Exit Hide/Show Errors	Cher I IIISII lo go lo	Finish
		the Amendment	
		workspace to submit.	



Step 4: Submit





Continuing Reviews



Steps for preparing a CR application

 Step 1: Start the CR application by clicking in the approved study workspace.

CR New Continuing Review

- **Step 2:** Complete the CR application.
- **Step 3:** Submit the CR.
 - If you are modifying the study application at the time of continuing review, create and submit a separate amendment.
 - If you are submitting a PAR at the time of continuing review, create a PAR in the approved study workspace. The PAR will be reviewed with the CR.



Step 1: Create a CR application

	adverse land to be a second as a second s		
	Current State	Study: Sample Approved Study for webIRB Training (1)	
	Approved	Full Title of Study: Sample Approved Study for webIRB Training (1)	
• In the approved study	Titew Study	Protocol ID: IRB=09-000016	
 In the approved study 	E Printer Version		
	The view Differences	Principal A PI1 Investigator:	Study Contact Study Stall75 Person:
workspace click on:	(N	Faculty Sponsor:	Initial Submission 12/26/2009 Date:
	SS-Print All Request Notes		vale:
		Review Type: Full IRB Review	Committee: Medical IRD 2
CR New Continuing Review	Owner (IR8 Staff):		
	IRB Staff1	Approval Date: 1/4/2011 Expiration Date: 1/4/2012	Letter of Approval: [View]
		Expiration Date: 1/4/2012	
`	My Activities		
	Send Notification to FS for FS Assorances	PI Proxy:	
	PI Assurances		
	G Send Training	PT Assurances: Completed	
	Reminder Edit PI Proxy	FS Assurances: Not Required	
	Copy Study	Request to Continue Participants during Approval Lapse:	
	Send Inquiry or Regly		
	to IRB		
	PI Suspend	History Amendments Continuing Post-Approval Reports & Approved Completed Cond Reviews Single Subject Exception Documents IRB Requests Deter	itions and Correspondence Training Change Log Log
	Study Team - Log Private Comment	Activity	author
 The Continuing Review-Type of 		Oppingert Snapshot Generated	Michelle Leonard
green	New Post Approval Report	View Project Snapshot	
Ctudy as ation will appear	or Single Subject Exception	Amendment Completed - Approved Continuing Review Deadline Reminder	Michelle Leonard System Administrator
Study section will appear:	New Costinuing Review	Amendment Opened	A PI1
		080	

-			New: Continuing Review
SA	NDBOXUCLAwebIRB		
<< Back		Save Print	Continue >>
Contin	Wan	ning: Save your work at least every 15 minutes by clicking "Save" or "Continue."	
1.0	IRB# for the study: IRB# 09-000016		
2.0	*Indicate the type of report you are submitting:		Guidance: If any of the following conditions apply, the study must remain open:
	C Study Closure Clear		 Local enrollment to the study is on opping. Anterventions are engang. Local participant, follow up is engoing. Local participant, follow up is engoing. Local participant, follow up is engand. Local participant, follow up is engander in the structure of the structure preparation that involve due or paccess to individually identifiable information is



Step 2: Complete the CR application

SA << Back	NDBOKUCLAWEBIRB	New: Continuing Reviews - Save Print
Continui 1.0 2.0	Warning: Save you	 The SmartForm will branch depending on the type of report you are submitting: Progress report for continuing review Study Closure Click Continue to navigate through the sections.
3.0	You have indicated in the Study smartform that the following apply to the study design The research activities <u>involve direct contact</u> with study participants (e.g.,collection of data	
4.0	UCLA IRB Expiration Date of this Study: 1/4/2012	

Step 2: Complete the CR application (cont'd) Office of the Human Research Protection Program

UCL	AwebIRB and the second of the second s						
<< Back	Exit Hide/Show Errors Print Jump To: 2.1 - Post-Approval Reports (Including Unanticipated Problems Involving Risks to Participants or Others) +	Continue >>					
Reviewer	Note						
Туре	P	eviewer Modified					
	There are no items to display						
Post-App	Warning: Save your work at least every 15 minutes by clicking "Save" or "Continue." roval Reports (including Unanticipated Problems involving Risks						
1.0	*Did any of the following occur during the past approval period for this study?						
	Check all that apply:						
	Unexpected or serious adverse events DSMB report or new information regarding the safety of the study						
Protocol violations, incidents or complaints Study audit or monitoring from an outside group (e.g., study sponsor, FDA, etc.)							
	Kone of the Above Kone of the Above						
	 If you indicated that any of the above occurred, did you submit the required reports for those that should have been reported within 3 or 10 working days during the past approval period? Guidance: Please click on the following link for a list of reporting requirements and timeframes. (link) Yes No If you answered 'No' above, create and submit a separate post-approval report(s) within webIRB referencing the IRB number of this continuing review application. 	Guidance item 1.1: Please click on the following link for a list of reporting requirements and timeframes. (link)					

UCLA

If you have not submitted all the required post-approval reports during the past approval period:

- Go to the approved study workspace and create a PAR.
- The PAR will be reviewed along with the CR.
- Submit the PAR at the same time you submit the CR.

Step 2: Complete the CR application (cont'd)

<< Back	Save Exit Hide/Show Errors Print Jump To: 4.0 - Continuing Review or Closure Report -				
Reviewe	er Note				
Туре		Reviewer Modified			
	There are no items to display				
Continui	ing Review or Closure Report				
	Instructions for Submission				
	If you are closing your IRB Approved or Certified Exempt study, submit this completed form within 30 days of completion or termination of all resear	ch activity, even if the current approval period has expired.			
	When you are ready to submit this report, please use the following steps to submit the application to the IRB for review.	Click Finich when you			
	 Click the "Finish" button on this page to return to the Continuing review/Study Closure workspace. If you have any amendments to submit at the same time as the report, use the "Create Linked Amendment" button under "My Activities." 	Click Finish when you			
	 Submit the report by using the "Submit Continuing Review" activity Once the report is submitted, the state indicator at the top left of the workspace will no longer display "Pre-Submission." 	reach Section 4.0-			
	Contact OHRPP/IRB office if you have any questions.	Continuing Review or			
	Call the General Campus IRB staff at 310-825-7122 or email gdrb@research.uda.edu.	-			
	 Call the Medical IRB staff at 310-825-5344 or email mirb@research.ucla.edu. For exempt protocols only, contact Wendy Brunt at 310-825-4810 or email wbrunt@research.ucla.edu. 	Closure Report to go to			
	OHRPP Guidance #17 on this topic is posted on the OHRPP website at http://ohrpp.research.ucla.edu.	the CR workspace.			
		The ON WORSpace.			
<< Back	Save Exit Hide/Show Errors Print Jump To: 4.0 - Continuing Review or	Closure Report +			



Office of the Human Research Protection Program

webIRB Home IRB Protocols				a
IRB Protocols > Sample Appro	ved Study for webIRB Tr	aining (1) > 201	2 Review for IRE	
Project State Pre Submission	Continuing	Review: 2	2012 Revie	•
	Continuing Review ID:	IRB#09-0000	16-CR-00006	Ν
Edit Continuing Review	Study ID:	IRB#09-0000	16	t
- Finter-Friendly Version	Principal Investiga	ator:		l
SS-Print All Request Notes	Faculty Sponsor:		/ '	
	SAE since last Con Total enrolled for last progress revie	this site since		
Owner (IRB Staff):	Any modifications to implementation	2	ior	
Parent Study: State: Approved Review Type: Full IRB	Initial Submission Committee:	Date:		
Review	Proxy:			
1y Activities				
Send Notification to FS for FS Assurances	PI Assurances:	Pending		
Submit Continuing Review	FS Assurances:	Not Required		
Withdraw				

Study Team - Log Private Comment • The **Submit Continuing Review** activity is available only to the PI and PI Proxy.

 Study Staff can use the Send Ready Notification to let the PI/PI Proxy know the CR is ready to be submitted.

	ruculty opensor.		
	SAE since last Continuing Review:		Consent requires modification?:
	Total enrolled for this site since last progress review:		Significant new findings to disclose?:
iff):	Any modifications not approved prior to implementation3		Study expiration date:
	Initial Submission Date:		Review Type:
i ull IRB	Committee	Medical IRB 2	Meeting Date & Time:
	Proxy:		
ication to FS	PI Assurances: Pending		
urances	FS Assurances: Not Required		
xy .	Request to Continue Participants during Approval Lapse:		
m - Log mment	History Documents Amendments Reportable Events IRB Requests	Correspondence Chan	ge Log



IRB Protocols > Sample Approved Study for webIRB Training (1) > 2012 Revi

ID: Study ID:

Continuing Review

Principal Investigator

SAE since last continuing Review:

Any modifications not approved prior

Total enrolled for this site since last progress review:

Faculty Sponsor:

to implementation?:

Committee:

PI Proxy:

PLAssurances:

FS Assurances:

Initial Submission Date:

IRB Protocols

webIRB Home

Project State

Edit Continuing Review

E SS-Print All Request Notes

Send Notification to FS

for FS Assurances

Submit Continuing

Study Team - Log Private Comment

Review

Edit PI Proxy

Owner (IRB Staff):

Parent Study:

Review

My Activities

State: Approved Review Type: Full IRB UCLAwebIRB

Continuing Review: 201/2

IRB#09-000016-CR-0

IRB#09/000016

Submit the CR

Before submitting:

If you have a faculty sponsor (FS) use **Send Notification to FS for FS Assurances** to obtain your FS assurances.

To submit the CR:

PI Assurances

- Submit Continuing Review available only to the PI and PI Proxy.
- Send Ready Notification available only to study staff to let the PI/PI Proxy know the CR is ready to be submitted.

After Submitting:

• The activity

Pending.

Request to Continue Participants during A

Not Required

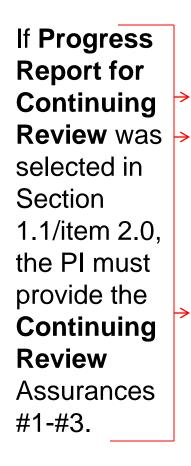
will appear.

• The PI must provide his/her assurance by clicking on this activity.



PI Assurances

The PI must provide the appropriate PI Assurances.



PI Assurances

PI Assurances

Continuing Review

- 1.0 I certify that the information provided in this application is complete and correct. Agree
- 2.0 I understand that as Principal Investigator, I have ultimate responsibility for the conduct of the study, the ethical performance of the project, the protection of the rights and welfare of human subjects, and strict adherence to any stipulations imposed by the IRB. Agree
- 3.0 I agree to comply with all UCLA policies and procedures, as well as with all applicable federal, State, and local laws regarding the protection of human subjects in research, including, but not limited to, the following:
 - Ensuring that the personnel performing the project are qualified, appropriately trained, and will adhere to the
 provisions of the approved protocol,
 - mplementing no changes in the approved protocol or consent process or documents without prior IRB approval (except in an emergency, if necessary to safeguard the well-being of human subjects and then notifying the IRB as soon as possible afterwards),
 - Obtaining the legally effective informed consent from human subjects or their legally responsible representative, and using only the currently approved consent process and stamped consent documents, as appropriate, with human subjects,
 - Reporting serious or unexpected adverse events as well as protocol violations or other incidents related to the
 protocol to the IRB in writing within 10 working days.
 - Assure that adequate resources to protection research participants (i.e., personnel, funding, time, equipment and space) are in place before implementing the research project, and that the research will stop if adequate resources become unavailable.
 - Arranging for a co-investigator to assume direct responsibility of the study if at any time I will be unavailable to
 direct this research personally, for example, when on sabbatical leave or vacation or other absences. Either this
 person is named as a co-investigator in this application, or I will advise IRB by letter in advance of such
 arrangements.

Agree

 I certify that all study activity involving contact with study participants, or use or access to personal identifiable information has ceased and the information provided in this report is complete and correct.
 Agree If Study Closure was selected in Section 1.1/item 2.0, the PI must provide the Study Closure Assurances.



Create and complete the PAR

 If you are submitting a PAR with the CR, go to the approved study workspace to create the PAR using:

PAR New Post-Approval Report or Single Subject Exception

- The PAR application will appear.
 Complete the application.
- When you complete the application the PAR workspace will appear.

Current State	Study: Samp	e Approved St	udy for webIRB Train	ning (1)					
Approved									
	Full Title of Study:	Sample Approved S	tudy for webIRB Training (1)						
View Study	Protocol ID:	IRB#09-000016							
Printer Version									
View Differences	Principal Investigator:	A PI1					Study Contact Person:	Study	Staff7 5
SS-Print All Request Notes	Faculty Sponsor:						Initial Submission Date:	12/26/	2009
	Review Type:	Full IRB Review					Committee:	Medica	IRB 2
Owner (IRB Staff): IRB Staff1	Approval Date:	1/4/2011					Letter of Approva	I: [View]	
IND OURT	Expiration Date:	1/4/2012					Letter of Approve	iii [vieii]	
		-/ ./							
My Activities									
Send Notification to FS for FS Assurances	PI Proxy:								
PI Assurances									
Send Training	PI Assurances:	Completed							
Reminder	FS Assurances:	Not Required							
🛕 Edit PI Proxy	Request to Continue	e Participants during a							
Copy Study	Request to continue	e Participants during i	Approval Lapser						
Send Inquiry or Reply to IRB									
🤭 PI Suspend	History Amenda	Continuing	Post-Approval Reports &	Approved	Completed	Conditions and	Correspondence	Training	Change
Study Team - Log Private Comment	mistory Amendi	Reviews	Single Subject Exception	Documents	IRB Requests	Determinations	Correspondence	Log	Log
Private Comment	Activity	and Concerned					Author		
	Project Snapsh						Michelle Leo	naro	
New Post-Approval Report or Single Subject Exception		ject Snapshot ompleted - Approved					Michelle Leo	nard	
AM New Amendment	1	view Deadline Remind	er				System Adn		
CR New Continuing Review	Amendment O						A PI1		
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Submit the PAR

SANDB webirb Home IRB Protocols	UCLAwebIRB	A PI1 My Home Logoff 💆
IRB Protocols > Sample Approve Current State Pre Submission Edit Post-Approval Report or Single Subject Exception Printer Version SS-Print All Request Notes Owner (IRB Staff):	d Study for webIRB Training (1) > tuiuir Post-Approval Report & Single Subject Exception: tuiuir Post Approval Report / Single Subject Exception ID: IRB#09-000016-PAR-0000 Study Name: Sample Approved Study for webIRB Training (1) Study ID: IRB#09-000016 Principal Investigator: A PI1 Faculty Sponsor: Date Reported to IRB: Submission Type: Updated Study Safety Information / Protocol Clarification	 Submit the PAR: The Submit activity is available only to the PI and PI Proxy.
Parent Study: State: Approved Review Type: Full IRB My Activities Image: Submit Image: Submit Subject Exception Image: Study Team - Log Provide Comment	History Stamped Study Documents Amendments IRB Requests Change Log Correct Correct Activity (1) Created Post-Approval Report or Single Subject Exception	 Study Staff can use the Send Ready Notification to let the PI/PI Proxy know the Amendment is ready to be submitted.





- Amendments and Continuing Reviews are created in the approved study workspace.
- If you are submitting a PAR the time of continuing review, go to the approved study workspace to create the PAR.



Questions?